# OFFICE OF THE CORRECTIONS OMBUDS

SENATE HUMAN SERVICES COMMITTEE JANUARY 12, 2023

CAITLIN ROBERTSON, PHD
DIRECTOR
CAITLIN.ROBERTSON@GOV.WA.GOV

Second Substitute Bill 1889 of the 2018 regular session signed into law by Gov. Inslee on March 27, 2018

# **Purpose**

- Provide information
- Promote public awareness & understanding
- Ensure compliance with relevant statutes, rules, & policies
- Identify system issues and responses for the governor
   & the legislature to act upon

### What makes an effective ombuds office?

According to the United States Ombudsman Association:

- Independence
- Impartiality and Fairness
- Credibility of the Review Process
- Confidentiality

# **Budget & Expenditures FY 2022**

Category	Allotment	Expenditure
Employee Salaries and Wages	796,492	854,026
Employee Benefits	288,439	290,085
Professional Service Contracts	10,000	0
Goods and Services	146,700	98,510
Travel	60,000	13,635
Capital Outlays	0	82
Interagency Reimbursements	3,334	(99,260)
Total	\$1,304,965	\$1,157,078

13 Full-Time & 2 Part-Time Staff

### **OCO Actions in Calendar Year 2022**

### **OCO Confidential Hotline**

**Total Calls Received** 

5,190

Investigations Opened via hotline, mail, & webform 3,987

140% INCREASE

from 2021-2022

Investigations Resolved 3,780

127% INCREASE

from 2021-2022



#### PHASE 1: INTAKE

Complaints can be reported via hotline, mail, or webform.

# PHASES OF A REPORTED OCO COMPLAINT





#### Phase 2: Triage Screening

Complaints are screened for OCO jurisdictional requirements.

### Phase 3: Determine Investigation Type

The case holder determines the investigation type.



#### PHASE 4:

DOCUMENTATION & EVIDENCE REVIEW



The case holder reviews all available documentation and evidence related to the complaint.

Phase 5: Findings

& **N**EGOTIATION

Once all documentation and evidence is reviewed the case holder will contact the DOC to negotiate a possible resolution.



#### Phase 6: Outcomes & Case Closing

Once an outcome is determined, the case holder will close the case and notify the incarcerated individual of the findings and outcome.

## December 2022 Monthly Outcome Report

#### **INTAKE INVESTIGATIONS: 115**

Administrative Remedies Not Pursued - 71

Declined - 20

Lacked Jurisdiction - 14

Person Declined OCO Involvement - 8

Person Left DOC Custody Prior to OCO Action - 2

#### **CASE INVESTIGATIONS: 203**

Assistance Provided - 29

Information Provided - 70

DOC Resolved - 18

Insufficient Evidence to Substantiate - 16

No Violation of Policy - 62

Substantiated - 8

**UNEXPECTED FATALITY REVIEWS: 3** 

Resolved Investigations 321

assistance or information provided in

**OVER 48%** 

of case investigations

## OCO Action Highlight (Dec. 2022)

### **CANCER CARE**

Patient reported kidney pain and delayed access to testing/appointments; patient later diagnosed with cancer.

#### **OCO** staff:

- Provided self-advocacy information to patient during multiple confidential phone calls
- Tracked appointments
- Monitored & confirmed cancer testing, treatment, and follow ups
- Requested appointment with re-entry nurse to discuss access planning upon release

As a result of OCO involvement, patient received additional testing which resulted in cancer diagnosis and treatment. Patient scheduled with re-entry nurse to create a continuity of care plan for appointments after release.

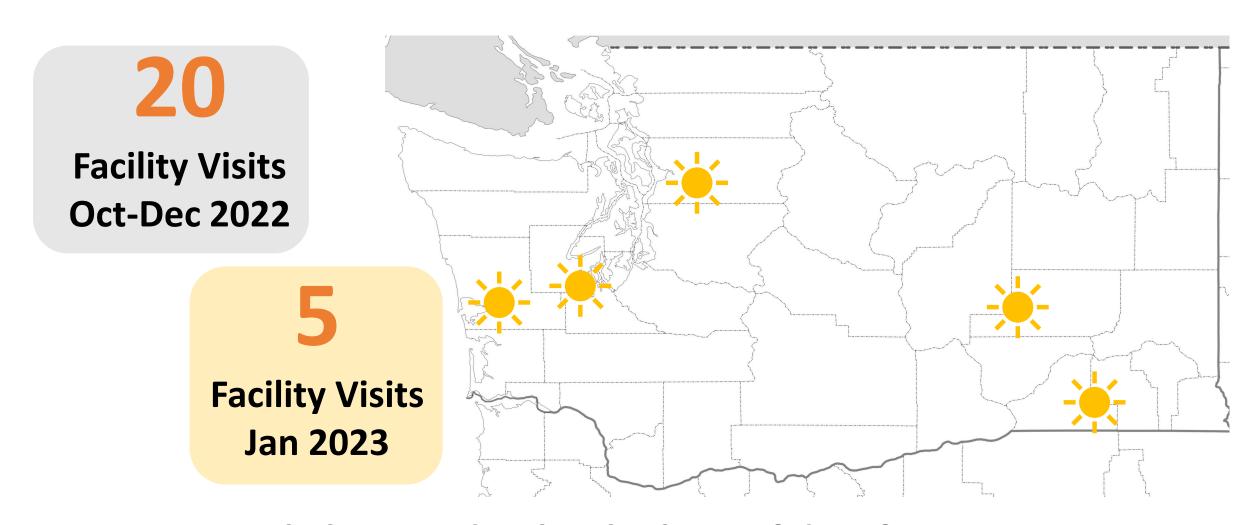
## OCO Action Highlights (Dec. 2022)

### **SAFETY CONCERNS**

**External person reported that their loved one was concerned for his safety due to impending transfer.** OCO staff identified that the individual was currently housed in a safe harbor facility, alerted DOC, and requested a full review of safety concerns. The DOC canceled the transfer and allowed him to remain at safe harbor facility.

### UNEXPECTED FATALITY REVIEW (UFR) RECOMMENDATION

Suicide in Alternative Housing for Medical Isolation. A weekly multidisciplinary team meeting should be conducted to ensure continued placement in alternative housing is appropriate and that the individual's medical, mental health, and safety needs are being met. Team should include representatives from medical, mental health, classification, and custody.



Sunlight is said to be the best of disinfectants.
- Justice Louise Brandeis (1914)

# OFFICE OF THE CORRECTIONS OMBUDS

**Confidential** 

**Hotline:** (360) 664-4749

**Mail:** PO Box 40009

Olympia, WA 98504

Online: www.oco.wa.gov/submit-complaint