

**Title 460 WAC  
FINANCIAL INSTITUTIONS, DEPARTMENT OF  
(SECURITIES DIVISION)**

**Last Update:** 9/12/24

**Chapters**

460-05A	Fees.
460-10A	Definitions.
460-11A	Multijurisdictional disclosure system.
460-12A	Fraudulent and other prohibited practices.
460-16A	General rules.
460-17A	Small company offering registration.
460-18A	Notice filings for federal covered securities.
460-20C	Broker-dealers and salespersons of broker-dealers.
460-21C	Broker-dealer services at financial institutions.
460-23B	Salespersons for issuers.
460-24A	Investment advisers.
460-25A	Use of senior designations.
460-28A	Advertisements.
460-32A	Real estate related securities programs.
460-42A	Exempt securities.
460-44A	Exempt transactions.
460-52A	Nonprofit organizations.
460-60A	Financial statements and reports—Contents and filing requirements.
460-64A	Capital requirements—Definitions.
460-80	Franchise registration.
460-82	Broker.
460-99C	Crowdfunding.
460-300	General rules.

**DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE**

**Chapter 460-10  
DEFINITIONS**

460-10-040	Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC.
460-10-100	Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC.

**Chapter 460-16  
GENERAL RULES FOR ISSUANCE**

460-16-050	Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-060	Bond on treasurer. [Order 11, § 460-16-060, filed 3/3/72. Formerly WAC 308-132-070.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-080	Subscription agreement. [Order 11, § 460-16-080, filed 3/3/72. Formerly WAC 308-132-186.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-100	Offering circular. [Order 11, § 460-16-100, filed 3/3/72. Formerly WAC 308-132-100.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-150	Other documents required for registration by coordination. [Order 11, § 460-16-150, filed 3/3/72. Formerly WAC 308-132-184.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-210	Nonvoting stock. [Order 10, § 460-16-210, filed 11/12/71. Formerly WAC 308-132-310.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-220	Cheap stock. [Order 10, § 460-16-220, filed 11/12/71. Formerly WAC 308-132-340.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-260	Options and warrants. [Order 10, § 460-16-260, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-270	Standards for options and warrants. [Order 10, § 460-16-270, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-320	Quarterly reports. [Order 11, § 460-16-320, filed 3/3/72. Formerly WAC 308-132-174.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-350	Financial statements. [Order 11, § 460-16-350, filed 3/3/72. Formerly WAC 308-132-176.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-16-390	Notice of termination of offering—Change of officers. [Order 11, § 460-16-390, filed 3/3/72. Formerly WAC 308-132-090.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-400 Promoter's investment. [Order 10, § 460-16-400, filed 11/12/71. Formerly WAC 308-132-180.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-410 Promotional securities—Standard. [Order 11, § 460-16-410, filed 3/3/72. Formerly WAC 308-132-110.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-420 Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-430 Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-440 Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-450 Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-460 Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-510 Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

460-16-520 Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-172.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

## **Chapter 460-20 BROKER-DEALERS**

460-20-100 Minimum net capital requirement rule. [Order 11, § 460-20-100, filed 3/3/72. Formerly WAC 308-132-130.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.

460-20-120 Minimum net capital requirement rule—Definitions. [Order 11, § 460-20-120, filed 3/3/72. Formerly WAC 308-132-132.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.

460-20-130 Minimum net capital requirement rule—Exceptions. [Order 11, § 460-20-130, filed 3/3/72. Formerly WAC 308-132-134.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.

460-20-200 Rules relating to broker-dealers—Records required of dealers. [Order 11, § 460-20-200, filed 3/3/72. Formerly WAC 308-132-162.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.

460-20-300 Rules relating to broker-dealers—Unethical conduct. [Order 11, § 460-20-300, filed 3/3/72. Formerly WAC 308-132-164.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.

460-20-320 Rules relating to broker-dealers—Switching mutual funds. [Order 11, § 460-20-320, filed 3/3/72. Formerly WAC 308-132-166.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.

460-20-400 Rules relating to broker-dealers—Salesman for only one issuer. [Order 11, § 460-20-400, filed 3/3/72. Formerly WAC 308-132-180.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.

460-20-410 Rules relating to broker-dealers—Part-time salesman. [Order 11, § 460-20-410, filed 3/3/72. Formerly WAC 308-132-182.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.

## **Chapter 460-20A BROKER-DEALERS AND SALESMEN**

460-20A-005 Definitions. [Order 304, § 460-20A-005, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-008 Fraudulent practices of broker-dealers and sales agents. [Statutory Authority: RCW 21.20.010, 21.20.110 and 21.20.450. WSR 89-17-079 (Order SDO-126-89), § 460-20A-008, filed 8/17/89, effective 9/17/89.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-010 Churning. [Order 304, § 460-20A-010, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-015 Confirmation of transactions. [Order 304, § 460-20A-015, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-020 Disclosure of control of issuer. [Order 304, § 460-20A-020, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-025 Disclosure of interest in distributions. [Order 304, § 460-20A-025, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-030 Record of transactions in discretionary accounts. [Order 304, § 460-20A-030, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-035 Control of the market. [Order 304, § 460-20A-035, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-045 Transmission or maintenance of payments received in connection with underwritings. [Order 304, § 460-20A-045, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-050 Disclosure and other requirements when extending or arranging credit in certain transactions. [Order 304, § 460-20A-050, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-100 Minimum net capital requirement for broker-dealers. [Order 304, § 460-20A-100, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-105 Net capital defined. [Order 304, § 460-20A-105, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-200 Books and records of broker-dealers. [Order 304, § 460-20A-200, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-205 Preservation of records. [Order 304, § 460-20A-205, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-210 Notice of changes by broker-dealers. [Statutory Authority: RCW 21.20.450. WSR 85-23-063 (Order SDO-220-85), § 460-20A-210, filed 11/19/85; WSR 85-16-068 (Order SDO-128-85), § 460-20A-210, filed 8/1/85; Order 304, § 460-20A-210, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-215 Notice of complaint. [Order 304, § 460-20A-215, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-220 Salesperson registration and examination. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 89-17-077 (Order SDO-123-89), § 460-20A-220, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20.070, 21.20.080, 21.20.340 and 21.20.450. WSR 88-17-011 (Order SDO-047-88), § 460-20A-220, filed 8/8/88. Statutory Authority: RCW 21.20.450. WSR 85-23-063 (Order SDO-220-85), § 460-20A-220, filed 11/19/85; WSR 85-16-068 (Order SDO-128-85), § 460-20A-220, filed 8/1/85; WSR 82-02-033 (Order SDO-149-81), § 460-20A-220, filed 12/31/81; WSR 80-04-037 (Order SDO-37-80), § 460-20A-220, filed 3/19/80; Order 304, § 460-20A-220, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-225 Exemptions from salesmen examinations. [Order 304, § 460-20A-225, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 88-17-011 (Order SDO-047-88), filed 8/8/88. Statutory Authority: RCW 21.20.450.

460-20A-230 Broker-dealer registration and examination. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 89-17-077 (Order SDO-123-89), § 460-20A-230, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20.070, 21.20.080, 21.20.340 and 21.20.450. WSR 88-17-011 (Order SDO-047-88), § 460-20A-230, filed 8/8/88. Statutory Authority: RCW 21.20.450. WSR 85-23-063 (Order SDO-220-85), § 460-20A-230, filed 11/19/85; WSR 85-16-068 (Order SDO-128-85), § 460-20A-230, filed 8/1/85; WSR 82-02-033 (Order SDO-149-81), § 460-20A-230, filed 12/31/81; Order 342, § 460-20A-230, filed 9/29/75; Order 304, § 460-20A-230, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-235 Condominium salesmen and broker-dealers. [Order 304, § 460-20A-235, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-400 Dual representation and affiliation. [Statutory Authority: RCW 21.20.450. WSR 90-09-058, § 460-20A-400, filed 4/17/90, effective 5/18/90; WSR 85-23-063 (Order SDO-220-85), § 460-20A-400, filed 11/19/85; WSR 85-16-068 (Order SDO-128-85), § 460-20A-400, filed 8/1/85; Order 342, § 460-20A-400, filed 9/29/75; Order 304, § 460-20A-400, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-405 Receipt of both securities sales commission and investment adviser fees. [Statutory Authority: RCW 21.20.450. WSR 85-03-042 (Order SDO-1-85), § 460-20A-405, filed 1/11/85.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-410 Part-time salesman or investment adviser salesman. [Order 342, § 460-20A-410, filed 9/29/75; Order 304, § 460-20A-410, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-415 Broker-dealer financial statement. [Order 304, § 460-20A-415, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-420 Dishonest or unethical business practices—Broker-dealers. [Statutory Authority: RCW 21.20.010, 21.20.110 and 21.20.450. WSR 89-17-079 (Order SDO-126-89), § 460-20A-420, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20.450. WSR 85-02-023 (Order SDO-202-84), § 460-20A-420, filed 12/27/84.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20A-425 Dishonest or unethical business practices—Salespersons. [Statutory Authority: RCW 21.20.010, 21.20.110 and 21.20.450. WSR 89-17-079 (Order SDO-126-89), § 460-20A-425, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20.450. WSR 85-02-023 (Order SDO-202-84), § 460-20A-425, filed 12/27/84.] Repealed by WSR 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

## **Chapter 460-20B BROKER-DEALER REGISTRATION**

460-20B-010 Application. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-20B-010, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20B-020 Definitions. [Statutory Authority: RCW 21.20.450. WSR 14-10-040, § 460-20B-020, filed 4/29/14, effective 5/30/14; WSR 95-24-002, § 460-20B-020, filed 11/22/95, effective 12/23/95. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-20B-020, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20B-030 Registration procedure. [Statutory Authority: RCW 21.20.450. WSR 14-10-040, § 460-20B-030, filed 4/29/14, effective 5/30/14. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-20B-030, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20B-035 Canadian broker-dealers and salespersons. [Statutory Authority: RCW 21.20.450. WSR 12-10-051, § 460-20B-035, filed 4/30/12, effective 5/31/12; WSR 96-15-062, § 460-20B-035, filed 7/17/96, effective 8/17/96.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20B-040 Examination requirements. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-20B-040, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20B-050 Expiration of broker-dealer license, renewal procedure, and delinquency fees. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-20B-050, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-20B-060 Notice of changes by broker-dealers. [Statutory Authority: RCW 21.20.450. WSR 14-10-040, § 460-20B-060, filed 4/29/14, effective 5/30/14. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-20B-060, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

## **Chapter 460-21B BROKER-DEALER PRACTICES**

460-21B-008 Fraudulent practices of broker-dealers. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-008, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-21B-010 Churning. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-010, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-21B-020 Transmission or maintenance of payments received in connection with underwritings. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-020, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-21B-030 Minimum net capital requirement for broker-dealers. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-030, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-21B-040 Net capital defined. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-040, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-21B-050 Books and records of broker-dealers. [Statutory Authority: RCW 21.20.100 and 21.20.450. WSR 97-03-122, § 460-21B-050, filed 1/22/97, effective 2/22/97. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-050, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-21B-060 Dishonest or unethical business practices—Broker-dealers. [Statutory Authority: RCW 21.20.450. WSR 14-10-040, § 460-21B-060, filed 4/29/14, effective 5/30/14. Statutory Authority: RCW 21.20.450, 21.20.020 (1)(c), 21.20.110 (1)(g). WSR 08-14-006, § 460-21B-060, filed 6/19/08, effective 7/20/08. Statutory Authority: RCW 21.20.450 and 21.20.110 (1)(g). WSR 02-19-093, § 460-21B-060, filed 9/17/02, effective 10/18/02. Statutory Authority: RCW 21.20.450(1). WSR 99-12-043, § 460-21B-060, filed 5/26/99, effective 7/9/99. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-060, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-21B-070 Supervision of securities salespersons. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-070, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

460-21B-080 Written procedures. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-21B-080, filed 7/21/95, effective 8/21/95.] Repealed by WSR 97-03-122, filed 1/22/97, effective 2/22/97. Statutory Authority: RCW 21.20.100 and 21.20.450.

**Chapter 460-22B**  
**SALESPERSONS OF BROKER-DEALERS**

- 460-22B-010 Application. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-010, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.
- 460-22B-020 Cross-reference to other sections relating to securities salespersons. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-020, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.
- 460-22B-030 Registration procedure. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-030, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.
- 460-22B-040 Salesperson registration and examination. [Statutory Authority: RCW 21.20.450. WSR 14-10-040, § 460-22B-040, filed 4/29/14, effective 5/30/14. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-040, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.
- 460-22B-050 Expiration of salesperson license, renewal procedure, and delinquency fees. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-050, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.
- 460-22B-060 Duty to update application. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-060, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.
- 460-22B-070 Dual representation and affiliation. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-070, filed 7/21/95, effective 8/21/95.] Repealed by WSR 97-03-122, filed 1/22/97, effective 2/22/97. Statutory Authority: RCW 21.20.100 and 21.20.450.
- 460-22B-080 Receipt of both securities sales commission and investment adviser fees. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-080, filed 7/21/95, effective 8/21/95.] Repealed by WSR 97-03-122, filed 1/22/97, effective 2/22/97. Statutory Authority: RCW 21.20.100 and 21.20.450.
- 460-22B-090 Dishonest and unethical business practices—Salespersons. [Statutory Authority: RCW 21.20.450. WSR 14-10-040, § 460-22B-090, filed 4/29/14, effective 5/30/14. Statutory Authority: RCW 21.20.450, 21.20.020 (1)(c), 21.20.110 (1)(g). WSR 08-14-006, § 460-22B-090, filed 6/19/08, effective 7/20/08. Statutory Authority: RCW 21.20.450 and 21.20.110 (1)(g). WSR 02-19-093, § 460-22B-090, filed 9/17/02, effective 10/18/02. Statutory Authority: RCW 21.20.450(1). WSR 99-12-043, § 460-22B-090, filed 5/26/99, effective 7/9/99. Statutory Authority: RCW 21.20.450. WSR 97-16-050, § 460-22B-090, filed 7/31/97, effective 8/31/97. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-22B-090, filed 7/21/95, effective 8/21/95.] Repealed by WSR 24-19-055, filed 9/12/24, effective 10/13/24. Statutory Authority: RCW 21.20.070 and 21.20.450.

**Chapter 460-24**  
**INVESTMENT ADVISERS**

- 460-24-010 Investment advisers—Where rules apply. [Order 11, § 460-24-010, filed 3/3/72. Formerly WAC 308-132-200.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-030 Use of the term "investment counsel." [Order 11, § 460-24-030, filed 3/3/72. Formerly WAC 308-132-330.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-050 Use of the term "investment counsel"—Examination required. [Order 11, § 460-24-050, filed 3/3/72. Formerly WAC 308-132-210.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-060 Use of the term "investment counsel"—Financial statements required of investment advisers. [Order 11, § 460-24-060, filed 3/3/72. Formerly WAC 308-132-220.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-100 Advertising—General policy. [Order 11, § 460-24-100, filed 3/3/72. Formerly WAC 308-132-230.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-110 Advertising—Matters of general application. [Order 11, § 460-24-110, filed 3/3/72. Formerly WAC 308-132-240.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-120 Advertising—Opinions and conclusions. [Order 11, § 460-24-120, filed 3/3/72. Formerly WAC 308-132-250.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-130 Advertising—Descriptive superlatives. [Order 11, § 460-24-130, filed 3/3/72. Formerly WAC 308-132-260.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-140 Advertising—Guarantees of success. [Order 11, § 460-24-140, filed 3/3/72. Formerly WAC 308-132-270.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
- 460-24-150 Advertising—Selected past recommendations. [Order 11, § 460-24-150, filed 3/3/72. Formerly WAC 308-132-280.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.

460-24-160 Advertising—Refunds. [Order 11, § 460-24-160, filed 3/3/72. Formerly WAC 308-132-290.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.

460-24-200 Records required of investment advisers. [Order 11, § 460-24-200, filed 3/3/72. Formerly WAC 308-132-300.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.

460-24-210 Compensation. [Order 11, § 460-24-210, filed 3/3/72. Formerly WAC 308-132-310.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.

460-24-220 Authority of investment adviser. [Order 11, § 460-24-220, filed 3/3/72. Formerly WAC 308-132-340.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.

460-24-300 Inequitable and fraudulent practices. [Order 11, § 460-24-300, filed 3/3/72. Formerly WAC 308-132-320.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.

## **Chapter 460-28 ADVERTISING**

460-28-010 Advertising. [Order 11, § 460-28-010, filed 3/3/72.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-28A WAC.

## **Chapter 460-31A REAL ESTATE PROGRAMS EXCEEDING FIVE MILLION DOLLARS**

460-31A-410 Application. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-410, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-415 Definitions. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-415, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-420 Experience of sponsor. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-420, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-425 Net worth of sponsor. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-425, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-430 Reports to administrator. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-430, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-435 Liability of sponsor. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-435, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-440 Suitability standards for the participants. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-440, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-445 Sales to appropriate persons. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-445, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-450 Maintenance of record of suitability. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-450, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-455 Minimum investment of participant. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-455, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-460 Fees, compensation and expenses. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-460, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-465 Organization and offering expenses. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-465, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-470 Investment in properties. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-470, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-475 Program management fee. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-475, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-480 Promotional interest. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-480, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-485 Real estate commissions on resale. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-485, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-490 Property management fee. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-490, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-495 Insurance services. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-495, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-500 Sales, leases, loans, and related programs. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-500, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-505 Exchange of limited partnership interests. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-505, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-510 Exclusive agreement. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-510, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-515 Sales commissions on reinvestment or distribution. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-515, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-520 Expenses of the program. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-520, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-525 Reimbursement of costs. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-525, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-530 Other services by sponsor. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-530, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-535 Rebates, kickbacks and reciprocal arrangements. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-535, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-540 Commingling. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-540, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-545 Investments in other programs. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-545, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-550 Lending practices. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-550, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-555 Development or construction contract. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-555, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-560 Completion bond requirements. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-560, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-565 Requirement for real property appraisal. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-565, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-570 Nonspecified property programs. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-570, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-575 Minimum capitalization. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-575, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-580 Experience of sponsor. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-580, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-585 Statement of investment objectives. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-585, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-590 Period of offering and expenditure of proceeds. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-590, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-595 Special reports. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-595, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-600 Assessments. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-600, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-605 Multiple programs. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-605, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-610 Rights and obligations of participants—Meetings. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-610, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-615 Voting rights of limited partners. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-615, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-620 Reports to holders of limited partnership interests. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-620, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-625 Access to records. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-625, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-630 Admission of participants. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-630, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-635 Redemption of program interests. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-635, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-640 Transferability of program interests. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-640, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-645 Assessments and defaults. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-645, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-650 Sales literature. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-650, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-655 Group meetings. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-655, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-660 Contents of prospectus. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-660, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-665 Use of forecasts. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-665, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-670 Forecasts for specified property programs. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-670, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-675 Realistic forecasts. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-675, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-680 Material information. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-680, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-685 Presentation of forecasts. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-685, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-690 Additional disclosures and limitations. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-690, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-695 Forecasts for unimproved property programs. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-695, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-700 Fiduciary duty. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-700, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-705 Deferred payments. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-705, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-710 Reserves. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-710, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-715 Reinvestment of cash flow and proceeds on disposition of property. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-715, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-720 Financial information required on application. [Statutory Authority: RCW 21.20.180(8) and 21.20.210(14). WSR 83-23-087 (Order SDO-215-83), § 460-31A-720, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-725 Opinions of counsel. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-725, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-31A-730 Provisions of the partnership agreement. [Statutory Authority: RCW 21.20.450. WSR 83-23-087 (Order SDO-215-83), § 460-31A-730, filed 11/21/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

## Chapter 460-32

### RULES FOR LIMITED PARTNERSHIPS

460-32-010 Preamble. [Order 10, § 460-32-010, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-020 Definitions. [Order 10, § 460-32-020, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-030 Investors, stockholders, officers, directors. [Order 10, § 460-32-030, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-040 Duration. [Order 10, § 460-32-040, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-050 Dissolution. [Order 10, § 460-32-050, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.



460-32-060 Promotional interests. [Order 10, § 460-32-060, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-070 Participation in profits. [Order 10, § 460-32-070, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-080 Transferability. [Order 10, § 460-32-080, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-090 Affiliates-fees, commissions. [Order 10, § 460-32-090, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-100 Real estate transactions. [Order 10, § 460-32-100, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-110 Limited partnership assessments. [Order 10, § 460-32-110, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-120 Default. [Order 10, § 460-32-120, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-130 Promissory notes. [Order 10, § 460-32-130, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-140 Finders fees. [Order 10, § 460-32-140, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-150 Disclaimer. [Order 10, § 460-32-150, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-160 Obligations. [Order 10, § 460-32-160, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-170 Surrounding property. [Order 10, § 460-32-170, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-180 Financial disclosures. [Order 10, § 460-32-180, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-190 Impound. [Order 10, § 460-32-190, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-200 Trust accounts. [Order 10, § 460-32-200, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-210 Insurance. [Order 10, § 460-32-210, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-220 Offering circular disclosures. [Order 10, § 460-32-220, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

460-32-230 Exceptions. [Order 10, § 460-32-230, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.

### Chapter 33A

#### REGULATIONS CONCERNING SECURITIES INVOLVING MORTGAGES, TRUST DEEDS OR PROPERTY SALES CONTRACTS

460-33A-010 Application. [Statutory Authority: RCW 21.20.450. WSR 12-10-051, § 460-33A-010, filed 4/30/12, effective 5/31/12; WSR 01-23-002, § 460-33A-010, filed 11/7/01, effective 12/8/01; WSR 89-17-078 (Order SDO-124-89), § 460-33A-010, filed 8/17/89, effective 9/17/89; WSR 86-21-107 (Order SDO-140-86), § 460-33A-010, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-010, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-015 Definitions. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-015, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 12-10-051, § 460-33A-015, filed 4/30/12, effective 5/31/12; WSR 01-23-002, § 460-33A-015, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-015, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 89-17-078 (Order SDO-124-89), § 460-33A-015, filed 8/17/89, effective 9/17/89; WSR 86-21-107 (Order SDO-140-86), § 460-33A-015, filed 10/20/86; WSR 83-15-043 (Order SDO-90-83), § 460-33A-015, filed 7/19/83; WSR 83-03-025 (Order SDO-7-83), § 460-33A-015, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-016 Registration of real property securities. [Statutory Authority: RCW 21.20.450. WSR 83-03-025 (Order SDO-7-83), § 460-33A-016, filed 1/13/83.] Repealed by WSR 86-21-107 (Order SDO-140-86), filed 10/20/86. Statutory Authority: RCW 21.20.450.

460-33A-017 Registration not required. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-017, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-017, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 89-17-078 (Order SDO-124-89), § 460-33A-017, filed 8/17/89, effective 9/17/89; WSR 86-21-107 (Order SDO-140-86), § 460-33A-017, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-017, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-020 Optional registration procedures for mortgage paper securities. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-020, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450 and 21.20.210(14). WSR 96-11-025, § 460-33A-020, filed 5/6/96, effective 6/6/96. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-020, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.180(8), 21.20.210(14) and 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-020, filed 10/20/86. Statutory Authority: RCW 21.20.450. WSR 83-03-025 (Order SDO-7-83), § 460-33A-020, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-025 Contents of the general offering circular. [Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-025, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-025, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-025, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-025, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-030 Contents and filing of the specific offering circular. [Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-030, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-030, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-030, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-030, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-031 Minimum investor suitability requirements. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-031, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-031, filed 11/7/01, effective 12/8/01; WSR 89-17-078 (Order SDO-124-89), § 460-33A-031, filed 8/17/89, effective 9/17/89; WSR 86-21-107 (Order SDO-140-86), § 460-33A-031, filed 10/20/86.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-035 Limitations on the use of optional registration of this chapter. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-035, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-035, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-035, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-035, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-035, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-036 Participation agreement. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-036, filed 5/17/12, effective 6/17/12.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-037 Disclosure requirements in the sale of real estate owned property. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-037, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-037, filed 11/7/01, effective 12/8/01.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-038 Real estate broker's opinion of value in the sale of real estate owned property. [Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-038, filed 11/7/01, effective 12/8/01.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-040 Net worth or bond requirement. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-040, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-040, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-040, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.060 and 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-040, filed 10/20/86. Statutory Authority: RCW 21.20.450. WSR 83-03-025 (Order SDO-7-83), § 460-33A-040, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-050 Banks and financial institutions. [Statutory Authority: RCW 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-050, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-050, filed 1/13/83.] Repealed by WSR 92-18-009, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.045.

460-33A-055 Escrow account. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-055, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-055, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-055, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 89-17-078 (Order SDO-124-89), § 460-33A-055, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20.250 and 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-055, filed 10/20/86. Statutory Authority: RCW 21.20.450. WSR 83-03-025 (Order SDO-7-83), § 460-33A-055, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-060 Recordation. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-060, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-060, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-060, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-065 Service agreement. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-065, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 89-17-078 (Order SDO-124-89), § 460-33A-065, filed 8/17/89, effective 9/17/89; WSR 86-21-107 (Order SDO-140-86), § 460-33A-065, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-065, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-070 Origination and assignment. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-070, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-070, filed 11/7/01, effective 12/8/01;

WSR 86-21-107 (Order SDO-140-86), § 460-33A-070, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-070, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

- 460-33A-075 Advertising. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-075, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-075, filed 11/7/01, effective 12/8/01; WSR 86-21-107 (Order SDO-140-86), § 460-33A-075, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-075, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-080 Registration and examination of mortgage broker-dealers. [Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-080, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-33A-080, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.450. WSR 89-17-078 (Order SDO-124-89), § 460-33A-080, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20.060 and 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-080, filed 10/20/86. Statutory Authority: RCW 21.20.450. WSR 83-03-025 (Order SDO-7-83), § 460-33A-080, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-081 Expiration of mortgage broker-dealer registration, renewal procedure. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-081, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-081, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-33A-081, filed 7/21/95, effective 8/21/95.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-085 Registration and examination of mortgage securities salespersons. [Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-33A-085, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.450. WSR 89-17-078 (Order SDO-124-89), § 460-33A-085, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20.070, 21.20.080 and 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-085, filed 10/20/86. Statutory Authority: RCW 21.20.450. WSR 83-03-025 (Order SDO-7-83), § 460-33A-085, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-086 Expiration of mortgage securities salesperson registration, renewal procedure. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-086, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-086, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-33A-086, filed 7/21/95, effective 8/21/95.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-090 Dishonest and unethical practices—Mortgage broker-dealers. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-090, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-090, filed 11/7/01, effective 12/8/01; WSR 86-21-107 (Order SDO-140-86), § 460-33A-090, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-090, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-095 Fiduciary duty—Mortgage broker-dealers. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-095, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-095, filed 11/7/01, effective 12/8/01.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-100 Written statement. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, and [21.20].450. WSR 12-11-087, § 460-33A-100, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-100, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-100, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-105 Appraisals. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-105, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-105, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-105, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 89-17-078 (Order SDO-124-89), § 460-33A-105, filed 8/17/89, effective 9/17/89; WSR 86-21-107 (Order SDO-140-86), § 460-33A-105, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-105, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-110 Financial statements and annual reports. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-110, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-110, filed 11/7/01, effective 12/8/01; WSR 86-21-107 (Order SDO-140-86), § 460-33A-110, filed 10/20/86; WSR 83-03-025 (Order SDO-7-83), § 460-33A-110, filed 1/13/83.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.
- 460-33A-115 Books and records. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-115, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-115, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-115, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-115,

filed 10/20/86.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-116 Access to loan records by investors. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-116, filed 5/17/12, effective 6/17/12.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-120 Preservation of records. [Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-120, filed 11/7/01, effective 12/8/01; WSR 86-21-107 (Order SDO-140-86), § 460-33A-120, filed 10/20/86.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-125 Notice of changes by mortgage broker-dealers. [Statutory Authority: RCW 21.20.060, [21.20].070, [21.20].090, [21.20].100, [21.20].180, [21.20].210, [21.20].250, [21.20].270, and [21.20].450. WSR 12-11-087, § 460-33A-125, filed 5/17/12, effective 6/17/12. Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-125, filed 11/7/01, effective 12/8/01. Statutory Authority: RCW 21.20.045. WSR 92-18-009, § 460-33A-125, filed 8/21/92, effective 9/21/92. Statutory Authority: RCW 21.20.450. WSR 86-21-107 (Order SDO-140-86), § 460-33A-125, filed 10/20/86.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

460-33A-130 Notice of complaint. [Statutory Authority: RCW 21.20.450. WSR 01-23-002, § 460-33A-130, filed 11/7/01, effective 12/8/01; WSR 86-21-107 (Order SDO-140-86), § 460-33A-130, filed 10/20/86.] Repealed by WSR 22-21-059, filed 10/12/22, effective 11/12/22. Statutory Authority: RCW 21.20.450.

## **Chapter 460-34A OIL AND GAS PROGRAMS**

460-34A-010 Application. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-010, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-015 Definitions. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-015, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-020 Net worth, experience and investment requirements of sponsor. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-020, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-025 Participants suitability standards. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-025, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-030 Minimum investment. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-030, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-035 Fees, compensation and expenses. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-035, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-037 Organization and offering expenses, and management fees. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-037, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-040 Promotional compensation. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-040, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-045 Program expenses. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-045, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-050 Transactions with affiliates. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-050, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-055 Farm-outs. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-055, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-060 Rights and obligations of participants. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-060, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-065 Assessability and defaults. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-065, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-070 Voting rights of limited partners. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-070, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-075 Minimum program capital. [Statutory Authority: RCW 21.20.250 and 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-075, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-080 Temporary investment of proceeds. [Statutory Authority: RCW 21.20.250 and 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-080, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-085 Return of unused proceeds. [Statutory Authority: RCW 21.20.250 and 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-085, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-090 Deferred payments. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-090, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-095 Cash redemption values. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-095, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-100 Future exchange. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-100, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-105 Reinvestment of revenues. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-105, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-110 Distribution of revenues. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-110, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-112 Selling of units. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-112, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-115 Sales materials and marketing restrictions. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-115, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-120 Contents of the prospectus. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-120, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-125 Financial information required on applications. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-125, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-130 Opinions of counsel. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-130, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-135 Liability and indemnification. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-135, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-34A-200 Regulation B filings. [Statutory Authority: RCW 21.20.450. WSR 83-19-035 (Order SDO-181-83), § 460-34A-200, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

## **Chapter 460-36**

### **RULES FOR REAL ESTATE INVESTMENT TRUSTS**

460-36-010 Preamble. [Order 10, § 460-36-010, filed 11/12/71. Formerly WAC 308-132-136.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-100 Trustees. [Order 10, § 460-36-100, filed 11/12/71. Formerly WAC 308-132-140.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-110 Self dealing. [Order 10, § 460-36-110, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-120 Fees and expenses. [Order 10, § 460-36-120, filed 11/12/71. Formerly WAC 308-132-152.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-130 Leverage. [Order 10, § 460-36-130, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-140 Minimum capital. [Order 10, § 460-36-140, filed 11/12/71. Formerly WAC 308-132-146.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-150 Other limitations. [Order 10, § 460-36-150, filed 11/12/71. Formerly WAC 308-132-158.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-160 Advisory contract. [Order 10, § 460-36-160, filed 11/12/71. Formerly WAC 308-132-150.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-170 Reports and meetings. [Order 10, § 460-36-170, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

460-36-180 Application to prior filings. [Order 10, § 460-36-180, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

## **Chapter 460-36A**

### **REAL ESTATE INVESTMENT TRUSTS**

460-36A-010 Amendment of declaration of trust. [Order 304, § 460-36A-010, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-015 Investment policy. [Order 304, § 460-36A-015, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-020 Minimum net capital. [Order 304, § 460-36A-020, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-025 Annual expenses. [Order 304, § 460-36A-025, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-030 Investment and activities. [Order 304, § 460-36A-030, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-035 Period of investment advisory contract. [Order 304, § 460-36A-035, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-040 Number and election of trustees. [Order 304, § 460-36A-040, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-045 Removal of trustees. [Order 304, § 460-36A-045, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-050 Terms and conditions of securities. [Order 304, § 460-36A-050, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-055 Annual meetings. [Order 304, § 460-36A-055, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-060 Annual reports. [Order 304, § 460-36A-060, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-065 Inspection of records. [Order 304, § 460-36A-065, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-070 Disclosure on distribution. [Order 304, § 460-36A-070, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-075 Termination of trust. [Order 304, § 460-36A-075, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by WSR 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.

460-36A-100 Definitions of terms. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-100, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-105 Fairness of REIT offerings. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-105, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-110 Trustees. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-110, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-115 Investment policy. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-115, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-120 Liability of shareholders. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-120, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-125 Reports and meetings. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-125, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-130 Special meetings. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-130, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-135 Inspection of records. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-135, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-140 Distributions. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-140, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-145 Change in declaration of trust. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-145, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-150 Termination of REIT. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-150, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-155 Advisory contract. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-155, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-160 Adviser compensation. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-160, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-165 Total expenses. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-165, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-170 Leverage. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-170, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-175 Minimum capital. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-175, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-180 Appraisal. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-180, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-185 Indemnification. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-185, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-190 Other limitations. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-190, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

460-36A-195 Implementation. [Statutory Authority: RCW 21.20.450. WSR 83-19-036 (Order SDO-180-83), § 460-36A-195, filed 9/14/83.] Repealed by WSR 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

## **Chapter 460-40A INVESTMENT COMPANIES**

460-40A-015 Prohibition on promotional shares. [Order 304, § 460-40A-015, filed 2/28/75, effective 4/1/75.] Repealed by WSR 98-01-071, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-40A-020 Prohibition on options. [Order 304, § 460-40A-020, filed 2/28/75, effective 4/1/75.] Repealed by WSR 98-01-071, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-40A-025 Selling expenses. [Order 304, § 460-40A-025, filed 2/28/75, effective 4/1/75.] Repealed by WSR 96-11-018, filed 5/6/96, effective 6/6/96. Statutory Authority: RCW 21.20.450.

460-40A-030 Management fee. [Order 304, § 460-40A-030, filed 2/28/75, effective 4/1/75.] Repealed by WSR 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.

460-40A-040 Insurance plan. [Order 304, § 460-40A-040, filed 2/28/75, effective 4/1/75.] Repealed by WSR 98-01-071, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

## **Chapter 460-46A CORPORATE LIMITED OFFERING EXEMPTION**

460-46A-010 Corporate limited offering exemption—Conditions to be met. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-010, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-010, filed 3/13/89; WSR 82-20-068 (Order SDO-116-82), § 460-46A-010, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-020 Availability of exemption. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-020, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-020, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 86-18-012 (Order SDO-114-86), § 460-46A-020, filed 8/22/86; WSR 83-15-025 (Order SDO-95-83), § 460-46A-020, filed 7/15/83; WSR 82-20-068 (Order SDO-116-82), § 460-46A-020, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-025 No sales commission. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-025, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 82-20-068 (Order SDO-116-82), § 460-46A-025, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-030 Affiliate—Definition. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 82-20-068 (Order SDO-116-82), § 460-46A-030, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-040 Maximum number of purchasers under exemption. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-040, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-040, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 87-15-084 (Order SDO-80-87), § 460-46A-040, filed 7/20/87; WSR 82-20-068 (Order SDO-116-82), § 460-46A-040, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-050 Promotional shares. [Statutory Authority: RCW 21.20.450. WSR 96-11-015, § 460-46A-050, filed 5/6/96, effective 6/6/96; WSR 95-17-068, § 460-46A-050, filed 8/16/95, effective 9/16/95. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 91-04-011, § 460-46A-050, filed 1/25/91, effective 2/25/91; WSR 89-07-042 (Order SDO-035-89), § 460-46A-050, filed 3/13/89; WSR 82-20-068 (Order SDO-116-82), § 460-46A-050, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-055 Voting rights of common stock. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-055, filed 1/25/91, effective 2/25/91.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-060 Promoter—Definition. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 82-20-068 (Order SDO-116-82), § 460-46A-060, filed 10/5/82.] Repealed by WSR 89-07-042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.

460-46A-061 Availability of corporate limited offering exemption for debt offerings—Debt service requirements. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-061, filed 1/25/91, effective 2/25/91.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-065 Availability of corporate limited offering exemption for debt offerings not meeting the debt service requirements of WAC 460-46A-060. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-065, filed 1/25/91, effective 2/25/91.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-070 Cheap and promotional shares—Definition. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 82-20-068 (Order SDO-116-82), § 460-46A-070, filed 10/5/82.] Repealed by WSR 89-07-042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.

460-46A-071 Availability of corporate limited offering exemption for offerings of preferred stock. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-071, filed 1/25/91, effective 2/25/91.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-072 Prohibited practices with regard to preferred stock. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-072, filed 1/25/91, effective 2/25/91.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-080 Stock options. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 83-15-025 (Order SDO-95-83), § 460-46A-080, filed 7/15/83; WSR 82-20-068 (Order SDO-116-82), § 460-46A-080, filed 10/5/82.] Repealed by WSR 89-07-042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.

460-46A-085 Inapplicability of cheap and promotional share, and stock option, restrictions. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 83-15-025 (Order SDO-95-83), § 460-46A-085, filed 7/15/83; WSR 82-20-068 (Order SDO-116-82), § 460-46A-085, filed 10/5/82.] Repealed by WSR 89-07-042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.

460-46A-090 Disclosure document. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-090, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-090, filed 3/13/89; WSR 86-18-012 (Order SDO-114-86), § 460-46A-090, filed 8/22/86; WSR 83-15-025 (Order SDO-95-83), § 460-46A-090, filed 7/15/83; WSR 82-20-068 (Order SDO-116-82), § 460-46A-090, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-091 Advertisements. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 83-15-025 (Order SDO-95-83), § 460-46A-091, filed 7/15/83.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-092 Financial statements. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-092, filed 3/13/89.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-095 Price of shares. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-095, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-095, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-095, filed 3/13/89; WSR 83-15-025 (Order SDO-95-83), § 460-46A-095, filed 7/15/83; WSR 82-20-068 (Order SDO-116-82), § 460-46A-095, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-100 Time purchase of shares under corporate limited offering exemption. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-100, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 82-20-068 (Order SDO-116-82), § 460-46A-100, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-105 Maximum and minimum offering amounts. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-105, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-105, filed 3/13/89; WSR 82-20-068 (Order SDO-116-82), § 460-46A-105, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-110 Moneys to be deposited in escrow account—Period of escrow and of offering. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). WSR 91-04-011, § 460-46A-110, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-110, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-110, filed 3/13/89; WSR 82-20-068 (Order SDO-116-82), § 460-46A-110, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-115 Report of sales. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 86-18-012 (Order SDO-114-86), § 460-46A-115, filed 8/22/86.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-120 Start up management compensation prohibited. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 82-20-068 (Order SDO-116-82), § 460-46A-120, filed 10/5/82.] Repealed by WSR 89-07-042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.

460-46A-145 Restrictions on transferability. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-145, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-145, filed 3/13/89; WSR 82-20-068 (Order SDO-116-82), § 460-46A-145, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

460-46A-150 Suitability of investors. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-150, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-150, filed 3/13/89; WSR 86-18-012 (Order SDO-114-86), § 460-46A-150, filed 8/22/86; WSR 82-20-068 (Order SDO-116-82), § 460-46A-150, filed 10/5/82.] Repealed by WSR



- 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).
- 460-46A-155 Attorney's opinion. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-155, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 89-07-042 (Order SDO-035-89), § 460-46A-155, filed 3/13/89; WSR 83-15-025 (Order SDO-95-83), § 460-46A-155, filed 7/15/83; WSR 82-20-068 (Order SDO-116-82), § 460-46A-155, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).
- 460-46A-160 Signing and verification of information in disclosure document. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-160, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 82-20-068 (Order SDO-116-82), § 460-46A-160, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).
- 460-46A-165 Annual reports to stockholders. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). WSR 90-09-059, § 460-46A-165, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. WSR 82-20-068 (Order SDO-116-82), § 460-46A-165, filed 10/5/82.] Repealed by WSR 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).

#### **Chapter 460-48A**

##### **RESTRICTED REAL ESTATE SECURITIES**

- 460-48A-020 Filing of restricted real estate securities application. [Order 342, § 460-48A-020, filed 9/29/75.] Repealed by WSR 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.
- 460-48A-030 Filing of completion report. [Order 342, § 460-48A-030, filed 9/29/75.] Repealed by WSR 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.
- 460-48A-040 Restricted real estate salesmen. [Order 342, § 460-48A-040, filed 9/29/75.] Repealed by WSR 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.
- 460-48A-050 Examinations for restricted real estate securities. [Order 342, § 460-48A-050, filed 9/29/75.] Repealed by WSR 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.

#### **Chapter 460-60**

##### **RULES FOR FILING OF ANNUAL FINANCIAL REPORTS FOR INTRASTATE OFFERINGS**

- 460-60-001 General. [Order 10, § 460-60-001, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-010 Definitions. [Order 10, § 460-60-010, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-100 Qualifications of accountants. [Order 10, § 460-60-100, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-110 Accountants' certificates. [Order 10, § 460-60-110, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-120 Certification by foreign government auditors. [Order 10, § 460-60-120, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-130 Certification of financial statements of persons other than the registrant. [Order 10, § 460-60-130, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-140 Certification of financial statements by more than one accountant. [Order 10, § 460-60-140, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-200 Form, order, and terminology. [Order 10, § 460-60-200, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-205 Generally accepted accounting principles. [Order 10, § 460-60-205, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-210 Items not material. [Order 10, § 460-60-210, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-215 Inapplicable captions and omission of unrequired or inapplicable financial statements. [Order 10, § 460-60-215, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-220 Omission of substantially identical notes. [Order 10, § 460-60-220, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-225 Omission of names of certain subsidiaries. [Order 10, § 460-60-225, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-230 Additional information. [Order 10, § 460-60-230, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-235 Changes in accounting principles and practices and retroactive adjustments of accounts. [Order 10, § 460-60-235, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-240 Summary of accounting principles and practices. [Order 10, § 460-60-240, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-245 Conversion of items in foreign currencies. [Order 10, § 460-60-245, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
- 460-60-250 Valuation and qualifying reserves. [Order 10, § 460-60-250, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-255 Basis of determining amounts—Book value. [Order 10, § 460-60-255, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-260 Current assets. [Order 10, § 460-60-260, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-265 Current liabilities. [Order 10, § 460-60-265, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-270 Reacquired evidences of indebtedness. [Order 10, § 460-60-270, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-275 Reacquired shares. [Order 10, § 460-60-275, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-280 Discount on capital shares. [Order 10, § 460-60-280, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-285 Commitments. [Order 10, § 460-60-285, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-290 General notes to balance sheets. [Order 10, § 460-60-290, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-295 General notes to profit and loss statements. [Order 10, § 460-60-295, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-300 Consolidated and combined statements. [Order 10, § 460-60-300, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-310 Consolidated statements of the registrant and its subsidiaries. [Order 10, § 460-60-310, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-320 Group statements of subsidiaries not consolidated. [Order 10, § 460-60-320, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-330 Statement as to principle of consolidation or combination followed. [Order 10, § 460-60-330, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-340 Reconciliation of investment of parent in subsidiaries and fifty-percent owned persons and equity of parent in their net assets. [Order 10, § 460-60-340, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-350 Reconciliation of dividends received from, and earnings of, unconsolidated subsidiaries. [Order 10, § 460-60-350, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-360 Minority interests. [Order 10, § 460-60-360, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-370 Intercompany items and transactions. [Order 10, § 460-60-370, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-400 Balance sheets for commercial and industrial companies. [Order 10, § 460-60-400, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-420 Statement of income (loss). [Order 10, § 460-60-420, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-440 Statement of source and application of funds. [Order 10, § 460-60-440, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-460 What schedules are to be filed. [Order 10, § 460-60-460, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-500 Schedule I. Marketable securities—Other security investments. [Order 10, § 460-60-500, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-505 Schedule II. Amounts due from directors, officers, and principal holders of equity securities other than affiliates. [Order 10, § 460-60-505, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-510 Schedule III. Investments in securities of affiliates. [Order 10, § 460-60-510, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-515 Schedule IV. Indebtedness of affiliates. [Order 10, § 460-60-515, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-520 Schedule V. Property, plant, and equipment. [Order 10, § 460-60-520, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-525 Schedule VI. Reserves for depreciation, depletion, and amortization of property, plant, and equipment. [Order 10, § 460-60-525, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-530 Schedule VII. Intangible assets. [Order 10, § 460-60-530, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-535 Schedule VIII. Reserves for depreciation and amortization of intangible assets. [Order 10, § 460-60-535, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-540 Schedule IX. Bonds, mortgages, and similar debt. [Order 10, § 460-60-540, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-545 Schedule X. Indebtedness to affiliates—Not current. [Order 10, § 460-60-545, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-550 Schedule XI. Guarantees of securities of other issuers. [Order 10, § 460-60-550, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-555 Schedule XII. Reserves. [Order 10, § 460-60-555, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-560 Schedule XIII. Capital shares. [Order 10, § 460-60-560, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-565 Schedule XIV. Warrants or rights. [Order 10, § 460-60-565, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-570 Schedule XV. Other securities. [Order 10, § 460-60-570, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-575 Schedule XVI. Supplementary income or loss information. [Order 10, § 460-60-575, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

460-60-580 Schedule XVII. Income from dividends. [Order 10, § 460-60-580, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.

#### **Chapter 460-65A**

##### **REGULATIONS ON PROCEDURES RELATED TO THE ENTRY OF ORDERS**

460-65A-010 Grounds for issuance of stop order pursuant to RCW 21.20.200. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-010, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-65A-020 Grounds for issuance of cease and desist orders pursuant to RCW 21.20.390. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-020, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-65A-030 Grounds for denial, suspension and revocation of exemption. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-030, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-65A-040 Grounds for denial, condition or revocation exemption pursuant to RCW 21.20.325. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-040, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-65A-100 Summary procedure. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-100, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-65A-105 Summary order—Hearing. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-105, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-65A-110 Summary hearing—Appearance before the director. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-110, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-65A-115 Requests for hearing on summary order time limits. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-115, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

460-65A-125 Nonsummary procedure. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. WSR 83-03-024 (Order SDO-6-83), § 460-65A-125, filed 1/13/83.] Repealed by WSR 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.

#### **Chapter 460-70**

##### **COMMODITY BROKER-DEALERS**

460-70-005 Net capital requirements for commodity broker-dealers. [Statutory Authority: RCW 21.20.400 and 21.30.300. WSR 87-02-044 (Order SDO-137-86), § 460-70-005, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-010 Commodity broker-dealer notice of net capital deficiency. [Statutory Authority: RCW 21.20.400 and 21.30.300. WSR 87-02-044 (Order SDO-137-86), § 460-70-010, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-015 Bond requirements for commodity broker-dealers and commodity sales representatives. [Statutory Authority: RCW 21.20.400 and 21.30.300. WSR 87-02-044 (Order SDO-137-86), § 460-70-015, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-020 Application for registration and post-effective requirements for a commodity broker-dealer and commodity sales representatives. [Statutory Authority: RCW 21.20.400 and 21.30.230. WSR 87-02-044 (Order SDO-137-86), § 460-70-020, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-025 Financial statements for commodity broker-dealers. [Statutory Authority: RCW 21.20.400 and 21.30.310. WSR 87-02-044 (Order SDO-137-86), § 460-70-025, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-030 Segregation of accounts by commodity broker-dealers. [Statutory Authority: RCW 21.20.400. WSR 87-02-044 (Order SDO-137-86), § 460-70-030, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-035 Confirmations. [Statutory Authority: RCW 21.20.400 and 21.30.320. WSR 87-02-044 (Order SDO-137-86), § 460-70-035, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-040 Records required of commodity broker-dealers. [Statutory Authority: RCW 21.20.400 and 21.30.320. WSR 87-02-044 (Order SDO-137-86), § 460-70-040, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-045 Records to be preserved by commodity broker-dealers. [Statutory Authority: RCW 21.20.400 and 21.30.320. WSR 87-02-044 (Order SDO-137-86), § 460-70-045, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-050 Denial, revocation, and suspension of registration. [Statutory Authority: RCW 21.20.400 and 21.30.350. WSR 87-02-044 (Order SDO-137-86), § 460-70-050, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

460-70-060 Promotional materials to be filed, materials permitted without filing and prohibited materials. [Statutory Authority: RCW 21.20.400. WSR 87-02-044 (Order SDO-137-86), § 460-70-060, filed 1/6/87.] Repealed by WSR 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.

## **Chapter 460-90 CAMPING CLUBS**

460-90-100 Camping club registration applications. [Order 12, § 460-90-100, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-010.

460-90-110 Camping club registration exhibits. [Order 453-DOL, § 460-90-110, filed 10/5/77; Order 12, § 460-90-110, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-020.

460-90-120 Signing of application. [Order 12, § 460-90-120, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-030.

460-90-122 Consent to service process. [Order 12, § 460-90-122, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-125 Availability of campsites. [Order 12, § 460-90-125, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-130 Membership contract. [Order 453-DOL, § 460-90-130, filed 10/5/77; Order 12, § 460-90-130, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-140 Financial statements. [Order 453-DOL, § 460-90-140, filed 10/5/77; Order 12, § 460-90-140, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-040.

460-90-150 Management fees. [Order 12, § 460-90-150, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-160 Certificates of local authorities. [Order 12, § 460-90-160, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-170 Change of development plans. [Order 12, § 460-90-170, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-180 Amendments. [Order 12, § 460-90-180, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-190 Approval not endorsement. [Order 12, § 460-90-190, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-050.

460-90-200 Notice of termination of sale. [Order 12, § 460-90-200, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-060.

460-90-300 Receipt of offering circular. [Order 453-DOL, § 460-90-300, filed 10/5/77; Order 12, § 460-90-300, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-310 Offering circular. [Order 453-DOL, § 460-90-310, filed 10/5/77; Order 12, § 460-90-310, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-320 Required information in offering circular. [Order 12, § 460-90-320, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-330 Sequence of presentation. [Order 453-DOL, § 460-90-330, filed 10/5/77; Order 12, § 460-90-330, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-400 Imposition of impound. [Order 12, § 460-90-400, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-410 Special impound. [Order 12, § 460-90-410, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-420 Presumption of impound. [Order 12, § 460-90-420, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-430 Depositary. [Order 12, § 460-90-430, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-080.

460-90-440 Purchase receipts. [Order 12, § 460-90-440, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-450 Operation of impound condition. [Order 12, § 460-90-450, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-090.

460-90-460 Release of a portion of the impound. [Order 12, § 460-90-460, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-470 Cost of selling. [Order 12, § 460-90-470, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

460-90-480 Release of impounds. [Order 12, § 460-90-480, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-100.

460-90-490 Fee for impound. [Order 12, § 460-90-490, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-105.

460-90-500 Advertising. [Order 12, § 460-90-500, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-140.

460-90-510 Renewals. [Order 453-DOL, § 460-90-510, filed 10/5/77.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-110.

460-90-900 Application form. [Order 12, § 460-90-900, filed 4/25/72.] Repealed by WSR 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.

### **Chapter 460-90A CAMPING CLUBS—CONTRACTS—RESALE, ETC.**

460-90A-005 Organization. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, § 460-90A-005, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. WSR 85-12-021 (Order RE 131), § 460-90A-005, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-010.

460-90A-010 Camping club contract registration application. [Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), § 460-90A-010, filed 3/2/83. Formerly WAC 460-90-100.] Repealed by WSR 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.

460-90A-015 Definitions. [Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), § 460-90A-015, filed 9/18/85; WSR 85-12-021 (Order RE 131), § 460-90A-015, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-020.

460-90A-017 Reporting events that shall require that the operator keep written disclosures current. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, § 460-90A-017, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), § 460-90A-017, filed 9/18/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-030.

460-90A-018 Material events that are amendments requiring notice and a filing fee. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, § 460-90A-018, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), § 460-90A-018, filed 9/18/85; WSR 85-12-021 (Order RE 131), § 460-90A-018, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-040.

460-90A-020 Camping club contract registration exhibits. [Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), § 460-90A-020, filed 3/2/83. Formerly WAC 460-90-110.] Repealed by WSR 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.

460-90A-022 Exemptions from registration—Noncommercial resale contract offerings. [Statutory Authority: RCW 19.105.530. WSR 85-12-021 (Order RE 131), § 460-90A-022, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-050.

460-90A-025 Statement of record—Filings and information required upon application for registration of start up camp resort projects and contract offerings. [Statutory Authority: RCW 19.105.530, 19.105.320 and 19.105.380. WSR 85-12-021 (Order RE 131), § 460-90A-025, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-060.

460-90A-027 The public offering statement—Form, content, and preparation. [Statutory Authority: RCW 19.105.530 and 19.105.320 (1)(b). WSR 85-12-021 (Order RE 131), § 460-90A-027, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-070.

460-90A-030 Signing of application and the permit. [Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), § 460-90A-030, filed 9/18/85; WSR 85-12-021 (Order RE 131), § 460-90A-030, filed 5/29/85. Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), § 460-90A-030, filed 3/2/83. Formerly WAC 460-90-120.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-070.

460-90A-032 The public offering statement—Delivery to prospective purchasers. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, § 460-90A-032, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), § 460-90A-032, filed 9/18/85. Statutory Authority: RCW 19.105.530 and 19.105.370. WSR 85-12-021 (Order RE 131), § 460-90A-032, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-090.

460-90A-035 Purchaser cancellations of contracts—Prompt refund of funds and consideration. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, § 460-90A-035, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), § 460-90A-035,

filed 9/18/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-100.

460-90A-040 Financial statements. [Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-040, filed 3/2/83. Formerly WAC 460-90-140.] Repealed by WSR 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.

460-90A-045 Financial statements and information. [Statutory Authority: RCW 19.105.530 and 19.105.320(1)(a). WSR 85-19-093 (Order 133 R), \$ 460-90A-045, filed 9/18/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-110.

460-90A-050 Registration not endorsement. [Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-050, filed 3/2/83. Formerly WAC 460-90-190.] Repealed by WSR 85-19-093 (Order 133 R), filed 9/18/85.

460-90A-055 Written disclaimer of endorsement. [Statutory Authority: RCW 19.105.530 and 19.105.320(1). WSR 85-19-093 (Order 133 R), \$ 460-90A-055, filed 9/18/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-120.

460-90A-060 Notice of termination of sales. [Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-060, filed 3/2/83. Formerly WAC 460-90-200.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-130.

460-90A-070 Receipt of written disclosures. [Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), \$ 460-90A-070, filed 9/18/85. Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-070, filed 3/2/83.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-140.

460-90A-080 Depository. [Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-080, filed 3/2/83. Formerly WAC 460-90-430.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-150.

460-90A-090 Operation of impound condition. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, \$ 460-90A-090, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), \$ 460-90A-090, filed 9/18/85. Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-090, filed 3/2/83. Formerly WAC 460-90-450.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-160.

460-90A-100 Release of impounds. [Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), \$ 460-90A-100, filed 9/18/85. Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-100, filed 3/2/83. Formerly WAC 460-90-480.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-170.

460-90A-105 Fee for impound. [Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-105, filed 3/2/83. Formerly WAC 460-90-490.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-180.

460-90A-110 Renewals. [Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-110, filed 3/2/83. Formerly WAC 460-90-510.] Repealed by WSR 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.

460-90A-115 Renewals. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, \$ 460-90A-115, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. WSR 85-19-093 (Order 133 R), \$ 460-90A-115, filed 9/18/85; WSR 85-12-021 (Order RE 131), \$ 460-90A-115, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-190.

460-90A-120 Salesperson registration. [Statutory Authority: RCW 19.105.440(3). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-120, filed 3/2/83.] Repealed by WSR 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.

460-90A-122 Salesperson registrations. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, \$ 460-90A-122, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530 and 19.105.440(3). WSR 85-12-021 (Order RE 131), \$ 460-90A-122, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-200.

460-90A-125 Salesperson registration—For persons in the business of offering resale contracts. [Statutory Authority: RCW 19.105.530 and 19.105.440(3). WSR 85-12-021 (Order RE 131), \$ 460-90A-125, filed 5/29/85.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130.

460-90A-130 Request for withdrawal of camping club property. [Statutory Authority: RCW 19.105.530. WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-130, filed 3/2/83.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-210.

460-90A-140 Advertisements. [Statutory Authority: RCW 19.105.530 and 19.105.360. WSR 85-12-021 (Order RE 131), \$ 460-90A-140, filed 5/29/85. Statutory Authority: RCW 19.105.320(1). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-140, filed 3/2/83. Formerly WAC 460-90-500.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-220.

460-90A-145 Fees and charges. [Statutory Authority: RCW 43.24.086. WSR 90-06-051, \$ 460-90A-145, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.411. WSR 89-01-082 (Order PM 807), \$ 460-90A-145, filed 12/20/88.] Repealed by WSR 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-240.

460-90A-150 Resale by salesperson for commission of camping club contracts exempt from registration. [Statutory Authority: RCW 19.105.440(3). WSR 83-06-076 (Order SDO-40-83), \$ 460-90A-150,

filed 3/2/83.] Repealed by WSR 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.